



于学军 Yu Xuejun
监事会主席 Chairman of the Board of Supervisors



监事会主席致辞

MESSAGE FROM THE CHAIRMAN OF THE BOARD OF SUPERVISORS

2020年，监事会根据《国有重点金融机构监事会暂行条例》、金融监管规章制度及本行章程的规定，围绕国有金融资本保值增值的监督目标坚实履行监督职责。

过去的一年，监事会面对突如其来的疫情形势，克服困难，积极探索，主动作为，大力加强非现场检查 and 调查研究工作，不断改进监事会的工作思路和工作流程，强化与行内外有关监督部门的协作机制，圆满完成了年度各项工作任务。

过去的一年，监事会关注全行发展战略，以提升进出口银行公司治理水平为突破口，以定期审查董事和高管的述职报告和参加董事会高管层会议为抓手，将董事会、高管层和分行领导班子的履职尽责情况作为监督重点，关注高管层对董事会决议的执行力度和分支机构执行总行制度的规范性，严格履行了履职监督的职责。

过去的一年，监事会以审查总行及部分分支机构的财务收支与明细为抓手，重点跟踪影响全行财务状况的重大风险项目，把财务收支与损益的真实性、集团客户存在的潜在重大风险作为监督检查的重点，关注财务收支的可持续性和重大风险的识别及化解，扎实履行了财务监督和风险监督的职责。

过去的一年，监事会以绩效考核、信贷业务管理及多轮整改核查为抓手，通过主题调研和汇报访谈等形式，重点关注总行对分支机构的管控力度和内控管理体制机制中存在的问题，强化各单位对整改工作的重视以及对内外部检查发现问题整改问责的全面性和有效性，有效履行了内控监督和整改监督的职责。

2021年既是“十四五”规划开局之年，也是全面建设社会主义现代化国家新征程开启之年。新的一年，监事会将继续围绕履职监督、内控监督、风险监督、财务监督、整改监督这五大监督重点，继续强化与行内外有关监督部门的信息交流与协作机制，提高监督工作的指导性和有效性，为进出口银行的可持续健康发展和国有金融资本的保值增值做出新的贡献。

中国进出口银行监事会主席



In 2020, the Board of Supervisors of the Export-Import Bank of China, acting in accordance with the *Provisional Regulations on the Board of Supervisors of Key State-owned Financial Institutions*, relevant financial regulations and the *Articles of Association of the Export-Import Bank of China*, fulfilled its supervisory responsibilities to maintain and increase the value of state-owned financial assets.

Over the year, the Board of Supervisors overcame difficulties caused by COVID-19 and explored new ways to reinforce off-site inspection to keep itself well informed of the Bank's operations. It steadily improved work approaches and procedures, strengthened coordination with both internal and external supervisory authorities, and well performed its role and functions.

The Board of Supervisors closely followed the Bank's development strategy. With focus on improving the Bank's corporate governance, it reviewed work performance reports submitted by members of Bank's Board of Directors and its senior management team and attended meetings of the Board of Directors and of the management team on a regular basis. It conducted, as a priority, supervision over the performance of the Bank's Board of Directors, the management team and the leadership teams of its branches to ensure that the senior management team carries out the Board of Directors' decisions and that the Bank's branches comply with the operating regulations of the head office.

The Board of Supervisors checked the revenue and expenditure of the Bank's head office and several branches, and closely followed lending projects with major risks that could affect the Bank's financial performance. Major emphasis was put on checking the truthfulness of the budget as well as profits and losses and major potential risks of group clients. The Board of Supervisors effectively performed its duty of financial and risk supervision over revenue and expenditure and identified and mitigated major risks.

The Board of Supervisors conducted performance evaluation, supervision of credit business management and carried out multiple rounds of improvement checks. It made special review, heard briefings and conducted interviews on the head office's management of branches and problems in the Bank's internal management system. The Bank departments were made to see the need to rectify problems identified, and full accountability for resolving problems found in internal and external inspections was ensured. By so doing, the Board of Supervisors effectively conducted internal supervision and rectification.

The year 2021 is the first year of China's 14th Five-Year Plan period, and it marks the beginning of a new journey toward building a modernized socialist country in an all-round way. In 2021, the Board of Supervisors will continue to conduct supervision over the Bank's fulfillment of its duty, internal management, risk management, financial management and problem rectification. It will step up information exchange and coordination with both internal and external supervisory departments, make supervision more targeted and effective, and contribute more to the sustainable development of the Bank and to maintaining and increasing the value of state-owned financial assets.